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2           **Constitutive activation of IL-13/STAT6 contributes to KSHV-**  
3                           **associated PEL cell proliferation and survival**

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13          Running Title: Constitutive STAT6 activation in PEL

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## 16 ABSTRACT

17 Activation of the Janus Kinase (JAK) / signal transducer and activator of transcription  
18 (STAT) signaling pathway has been associated with numerous human malignancies,  
19 including primary effusion lymphomas (PELs). PEL, a cancerous proliferation of B-  
20 cells, is caused by the Kaposi's sarcoma-associated herpesvirus (KSHV). Previously  
21 we identified constitutive phosphorylation of STAT6 on tyrosine 641 (p-STAT6<sup>c</sup>) in  
22 PEL cell lines BC3 and BCBL1, however, the molecular mechanism leading to this  
23 activation remains unclear. Here we demonstrate that STAT6 activation tightly  
24 correlates with interleukin-13 (IL-13) secretion, JAK1/2 tyrosine phosphorylation, and  
25 reduced expression of SHP1 due to KSHV infection. Moreover, p-STAT6<sup>c</sup> and  
26 reduction of SHP1 were also observed in KS patient tissue. Notably, blockade of IL-  
27 13 by antibody neutralization dramatically inhibits PEL cell proliferation and survival.  
28 Taken together, these results suggest that IL-13/STAT6 signaling is modulated by  
29 KSHV to promote host cell proliferation and viral pathogenesis.

30 **Key Words:** KSHV, IL-13, STAT6 phosphorylation, PEL

## 31 IMPORTANCE

32 STAT6 is a member of signal transducer and activator of transcription (STAT) family,  
33 whose activation is linked to KSHV-associated cancers. The mechanism through  
34 which STAT6 is modulated by KSHV remains unclear. In this study, we demonstrate  
35 that constitutive activation of STAT6 in KSHV-associated PEL cells, results from  
36 interleukin-13 (IL-13) secretion and reduced expression of SHP1. Importantly, we also  
37 found that depletion of IL-13 reduces PEL cell growth and survival. This discovery  
38 provides a new insight that IL-13/STAT6 plays an essential role in KSHV  
39 pathogenesis.

40

## 41 INTRODUCTION

42 Cytokines play a critical role in many viral infections. Viruses not only manipulate  
43 host cytokine production to favor virus survival, replication and infection, but also help  
44 virus-infected cells to modulate host immune response, which potentially results in  
45 the development of viral persistent infection, pathogenesis or tumorigenesis (1).  
46 Kaposi's sarcoma-associated herpesvirus (KSHV), also named human herpesvirus 8  
47 (HHV-8), is an oncogenic  $\gamma$ -herpes virus that associates with several aggressive  
48 malignancies including AIDS-related Kaposi's sarcoma (KS) (2), primary effusion  
49 lymphoma (PEL) (3) and multicentric castelman's disease (MCD) (4). Increasing  
50 evidences have suggested that KSHV also deregulates an array of host cytokines  
51 including: IL-6, IL-8, and IL-1 $\alpha$  thereby inducing cell proliferation, malignant  
52 transformation (5-8).

53 Signal transducer and activator of transcription (STAT) proteins are a family of  
54 cytoplasmic transcription factors involved in cytokine signal transduction. STAT6, is a  
55 key member of STAT family, whose role in the biology of cancer and immune cells  
56 has been firmly established (9, 10). STAT6 is activated by cytokine IL-4 or IL-13, via a  
57 common receptor chain, namely IL-4R $\alpha$ . Upon interleukin binding, IL-4R $\alpha$  dimerizes  
58 with IL-4R $\gamma$  or IL-13R $\alpha$ 1 to form Type I or Type II IL-4R receptor, respectively. The  
59 dimerized receptor recruits and activates phosphorylation of Janus tyrosine kinases  
60 (JAK), including JAK1 and JAK2, which in turn phosphorylates tyrosine residues on  
61 IL-4R, providing a docking site for the recruitment of STAT6. STAT6 itself becomes  
62 phosphorylated at its conserved tyrosine residue Y641 (11), and subsequently  
63 translocates into nucleus, where it regulates downstream gene expression through

64 binding to distinct consensus TTCN<sub>3/4</sub>GAA regions within the gene promoter (12, 13).  
65 To date, at least 35 genes in physiological and pathophysiological processes are  
66 activated by STAT6 (12). Regulation of STAT6 signaling is governed by a variety of  
67 inhibitory signals including: SOCS-1 (suppressor of cytokine signaling-1), and SHP-1  
68 (SH2-containing phosphatase-1). These proteins suppress IL-4/STAT6 and block  
69 STAT6 activation by dephosphorylating activated JAK, respectively (14).

70 Of significant importance, is the identification of constitutive STAT6 activation in a  
71 number of human malignancies (9) including: prostate carcinomas (15), and Hodgkin  
72 lymphoma (16). Mechanistically, STAT6 is constitutively activated in primary  
73 mediastinal large B-cell lymphomas due to amplification of the JAK2 (13), while in  
74 hepatocellular carcinoma, gastric carcinoma, colorectal cancer and hematological  
75 malignancies, STAT6 activation results from promoter hypermethylation and silencing  
76 of SHP1 or SOCS-1(17-20). Interestingly, in virus-associated diseases, constitutive  
77 STAT6 activation occurs through different pathways (21-23). In KSHV-associated  
78 cancers, we and other colleagues recently found that IL-4-mediated STAT6 activation  
79 is tightly regulated by the virus in order to switch lifecycles from latency to lytic  
80 replication (24, 25). These observations strongly suggest that STAT6 may play a role  
81 in KSHV-induced oncogenesis.

82 However, the molecular mechanism leading to constitutive STAT6 activation in  
83 PELs remains unclear. In an attempt to better understand the role of constitutively  
84 phosphorylated STAT6 in KSHV pathogenesis, we explored the expression pattern of  
85 STAT6-related molecules in KSHV-positive and negative B lymphoma cells. In this  
86 report, we demonstrate that constitutive activation of STAT6 correlates with IL-13  
87 secretion and JAK1/JAK2 phosphorylation due to down-regulation of SHP1.  
88 Furthermore, blockade of IL-13 by antibody neutralization dramatically inhibits PEL

89 cell proliferation and survival. These findings provide a new insight into how KSHV  
90 usurps STAT6 signaling pathway to promote host cell proliferation and viral  
91 pathogenesis.

## 92 MATERIALS AND METHODS

93 **Cell culture and transfection** — KSHV/EBV-negative (BJAB and DG75) and  
94 KSHV-positive (BC1, BC3, BCP1, BCBL1, and JSC1) B-lymphoma cells, EBV-  
95 transformed B cell line LCL1, KSHV-infected BJAB with low passage (K-BJAB<sup>Low</sup>),  
96 iSLK and iSLK-Bac16 (K-iSLK, a gift from SJ Gao at University of South California)  
97 cells were maintained in RPMI 1640 medium supplemented with 10% fetal bovine  
98 serum (FBS) and 1% penicillin and streptomycin (Gibco-BRL). All cell lines were  
99 incubated at 37°C in a humidified environmental incubator with 5% CO<sub>2</sub>. B-cells  
100 transfection was performed with Lonza-4D nucleofector™ system in an optimized  
101 program CA137.

102 **Antibodies** — SOCS1, SHP1, SHP2, and Akt (C-20) antibodies were purchased  
103 from Santa Cruz Biotech. Inc.. Rat antibodies to IL-4, IL-13, and κ isotype IgG1 were  
104 purchased from BioLegend. Antibodies to β-actin, JAK1 (6G4), JAK2 (D2E12), STAT6,  
105 p-STAT6 (Tyr-641) and Phospho-Akt (pAkt, Ser473, 587F11) were from Cell signaling  
106 Technology (Beverly, MA). Antibodies to IL-4R (clone 25463, R&D), phosphor-  
107 Tyrosine (clone 4G10, Millipore), GAPDH (G8140-01, US Biological) and KAP1  
108 (20C1, Abcam) were used according to the manufacturers specifications.

109 **Immunoprecipitation and immunoblotting** — Cells were harvested, washed with  
110 ice-cold PBS, and lysed in ice-cold RIPA buffer [10 mM Tris-HCl (pH 7.5). 1% Nonidet  
111 P-40, 150 mM NaCl, 2 mM EDTA with protease inhibitors]. Cell lysates were  
112 individually subjected to immunoprecipitation (IP) and immunoblotting (IB) or directly

113 immunoblotting with specific antibodies as described previously (26).

114 **Virion Purification and Primary infection of KSHV or EBV** — HEK293-Bac36  
115 (KSHV-green fluorescent protein [GFP]) cells or HEK293-GFP-EBV cells were  
116 individually induced with 20 ng/ml of tetradecanoyl phorbol acetate (TPA) and 1.5 mM  
117 sodium butyrate (Sigma-Aldrich, St. Louis, MO) for 2 days at 37°C with 5% CO<sub>2</sub>. After  
118 induction, the supernatant of culture medium was collected and filtered through a  
119 0.45µm filter, and viral particles were spun down at 25,000 rpm for 2h at 4°C. The  
120 concentrated virus was collected and used for primary infection as described  
121 previously (27). The infection was evaluated by interrogating the expression of viral  
122 antigen (LANA for KSHV, EBNA1 for EBV) in addition to visualizing GFP expression  
123 using fluorescence microscopy.

124 **Inhibition of Tyrosine Phosphorylation by chemical reagents** — The kinase  
125 inhibitor LY294002, PD98059, and AG490 from Upstate Biotechnology reconstituted  
126 in dimethyl sulfoxide (DMSO). Cell lines were seeded at 0.4 to 0.6 ×10<sup>5</sup> cells/mL and  
127 treated for 24 hours with different dose of LY294002, PD98059 or AG490. Control  
128 cells were treated with equal volumes of DMSO. After treatment, cells were washed  
129 in PBS and lysed as described in immunoblotting.

130 **Flow cytometry of cell cycle** — Treated cells were harvested, washed in ice-cold  
131 PBS, and fixed in cold methanol/acetone, followed by stained with PBS containing  
132 propidium iodide (PI) and RNase A as described previously (27). Cell cycle profiles of  
133 stained cells were analyzed using FACScan (BD Biosciences, Foster, CA) and  
134 FlowJo software.

135 **Nuclear Acid Extract** — Genomic DNA from B lymphoma cells was extracted by  
136 proteinase K digestion, phenol/chloroform extraction, and ethanol precipitation. Total

137 RNA from cells was extracted by using Trizol, and cDNA was made with a Superscript  
138 II reverse transcription kit (Invitrogen, Inc., Carlsbad, CA).

139 **Quantitative PCR** — The primers used for PCR are shown in Table 1. The standard  
140 real-time PCR protocol was followed as described previously (27). A melting curve  
141 analysis was performed to verify the specificities of the amplified products. Some  
142 PCR products were subjected to DNA sequencing for sequence analysis.

143 **Chromatin immunoprecipitation** — The chromatin immunoprecipitation (ChIP)  
144 experiments were done as previously described (27). Briefly, aliquots were incubated  
145 with each specific antibody or IgG control overnight at 4°C. Immune complexes were  
146 separated into bound and unbound complexes with Protein A-agarose and cross-links  
147 were reversed by treatment at 65°C overnight. After treatment with RNase A and  
148 proteinase K, samples were extracted once with phenol/chloroform, and the DNA was  
149 precipitated and resuspended. The ChIP DNA and 10% Input were amplified by  
150 qPCR using specific primers.

151 **Immunohistochemistry** — Immunohistochemistry for p-STAT6 and SHP1 were  
152 performed on deparaffinized, formalin-fixed tissue sections using an indirect  
153 immunoperoxidase method with an automated immunostainer. 3µm paraffin-  
154 embedded KS patient tissue was provided from public health clinical center of Fudan  
155 University. Usage of redundant cancer sample for research purpose was recognized  
156 by the hospital according to Medical Ethics. Briefly, after deparaffination in xylene for  
157 10min three times and rehydration, antigen was retrieved with EDTA ( pH8.0 ) for 10  
158 min at 95°C. Slides were treated with 0.3% hydrogen peroxide to block endogenous  
159 peroxidase activity. Subsequently, the appropriate primary antibody of LANA, SHP1  
160 or p-STAT6 was added and incubated overnight at 4°C. Next day, after extensively



161 wash with PBS, the goat anti-mouse/rabbit second antibody IgG conjugated with  
162 Horseradish Peroxidase (Kit PV8000, ZSGB-BIO Co., Beijing) was added and  
163 incubated for 30min at 25°C. DAB (3,3' diaminobenzidine) was added to stain the  
164 slide at room temperature and counterstained by hematoxylin.

165 **Statistical analysis** — Statistical significance of differences between means of at  
166 least n=3 experiments was determined using Student's *t*-test.

## 167 RESULTS

### 168 **KSHV induces constitutive phosphorylation of STAT6 (p-STAT6<sup>C</sup>)**

169 To answer whether the constitutive activation of STAT6 on Y-641 (p-STAT6<sup>C</sup>) in  
170 both BC3 and BCBL1 cell lines, which we observed previously (24), is associated  
171 with KSHV infection, we detected levels of p-STAT6<sup>C</sup> in more KSHV-positive (BC1,  
172 BC3, BCP1, BCBL1, JSC1) and negative (DG75, BJAB, iSLK, LCL1) cell lines,  
173 including the same genotype cells with and without KSHV infection (K-BJAB<sup>Low</sup>; K-  
174 iSLK). Interestingly, the results of immunoblotting against p-STAT6<sup>C</sup> show that  
175 although p-STAT6<sup>C</sup> is not highly activated in all KSHV-positive cell lines, the presence  
176 of KSHV in both BJAB and iSLK cell lines does induce p-STAT6<sup>C</sup> (1.8-fold and 2.4-  
177 fold increase, respectively) to some extent (Figure 1A). In contrast, LCL1 cells with  
178 EBV infection alone did not present p-STAT6<sup>C</sup>.

179 To address whether activation of JAK kinases or other kinases are important for  
180 the constitutive activation of p-STAT6<sup>C</sup>, we individually treated BC3 and BCBL1 cells  
181 with the JAK inhibitor AG490, PI3K inhibitor LY294002 or MEK inhibitor PD98059,  
182 followed by immunoblotting assays against p-STAT6<sup>C</sup> and phosphorylated Akt. As  
183 shown in Figure 1B, the results indicate that JAK but not PI3K or MEK kinases

184 contribute to the constitutive activation of STAT6. Consistent with previous reports  
185 that JAK is able to phosphorylate PI3K, which in turn phosphorylates Akt, we  
186 observed reduction of Akt phosphorylation at serine 473 following AG490-induced  
187 JAK inhibition. Conversely, PI3K inhibitor only blocks phosphorylation of Akt instead  
188 of STAT6 (Figure 1B). These findings indicate that constitutive activation of STAT6 is  
189 due to the cascade phosphorylation of JAK.

190 To further validate our findings, we looked levels of JAK1/2 and the  
191 phosphorylated JAK1/2 in the KSHV positive and negative cell lines by immune-  
192 precipitation and immunoblotting assays. While there was no significant difference of  
193 native JAK1 or JAK2 expression in KSHV positive and negative cells, a higher level  
194 of phosphorylated JAK1 or JAK2 was consistently observed in all the KSHV-positive  
195 cell lines (except for BC1, the absence of p-JAK could be due to lower expression of  
196 IL-4R $\alpha$  as observed below) including EBV-negative BJAB cells with KSHV infection  
197 than that in the KSHV-negative cell lines (Figure 1C). Intriguingly, we also observed  
198 that an activated form of the IL-4R $\alpha$  receptor (a key upstream regulator of JAK) was  
199 consistently elevated in all KSHV positive cell lines (Figure 1C, low panel), which  
200 further supports our hypothesis that constitutive p-STAT6 in PEL cells stems from  
201 activation of JAK and is due to KSHV infection.

#### 202 **Low expression of SHP1 in PEL cells associates with p-STAT6<sup>C</sup>**

203 To further elucidate pathways changes contributing to constitutive phosphory-  
204 lation of STAT6 in PEL cells, we performed immunoblotting assay to detect the  
205 protein levels of three key negative regulators — SOCS1, SHP1 and SHP2. As  
206 shown in Figure 2A, similar to the pattern of phosphorylated JAK1 and JAK2 were  
207 observed in the KSHV-positive and negative cell lines, lower expression of SHP1 but

208 not SHP2 or SOCS1 was also consistently observed in KSHV-positive cells,  
209 particularly about 2.6-fold and 2.1-fold decrease in BJAB and iSLK cells after KSHV  
210 infection, respectively (Figure 2A, right panel). This leads to our speculation that the  
211 absence of p-STAT6<sup>c</sup> (Figure 1A) in the KSHV and EBV-positive cell lines including  
212 BC1 and JSC1 could be specimen-specific, resulting from, co-infection of EBV may  
213 directly block the constitutive phosphorylation of STAT6 induced by KSHV.

214 To exclude the possibility that the reduced expression of SHP1 was due to  
215 mutation or a deletion within its promoter instead of KSHV infection, we analyzed the  
216 transcriptional level and promoter sequences of SHP1 along with SHP2, SOCS1 and  
217 SOCS3 as control in the KSHV-positive and negative cells. Surprisingly, the  
218 sequence analysis revealed that no recurrent mutations or deletions up to 1kb  
219 upstream region of SHP1 promoter occurred in the KSHV-positive cells, although a  
220 site mutation at -307 position of SHP1 promoter from BC3 and JSC1 cells was  
221 observed (Figure 2B). In contrast, no mutation within SHP2, SOCS1 or SOCS3  
222 promoter was observed (data not shown). Unexpectedly, the results of analysis of  
223 transcriptional levels of SHP1 and SHP2 showed that there was no significant  
224 difference in SHP1 or SHP2 expression in KSHV positive versus negative cells  
225 (Figure 2C). This finding supports the notion that constitutively activated STAT6 in  
226 PEL cell lines is associated with KSHV-mediated reduced SHP1 expression at the  
227 protein, rather than transcriptional, level.

#### 228 **Activation of p-STAT6<sup>c</sup> is induced by KSHV in PEL cells**

229 Our previous study showed that inhibition of KAP1 results in reduction of KSHV  
230 episome copy number and viral protein expression in PEL cells (27). To prove that  
231 constitutively activated STAT6 is due to KSHV in PEL cells, we compared the p-

232 STAT6<sup>C</sup> levels in the BC3 cells with or without loss of KSHV induced by KAP1  
233 knockdown. In agreement with our hypothesis, our results demonstrate that KAP1  
234 knockdown mediates loss of KSHV associates with subsequent reduction of p-  
235 STAT6<sup>C</sup> (Figure 3A, compare lane 1, 4 with lane 2, 5, respectively). Moreover, p-  
236 STAT6<sup>C</sup> was only partially rescued when endogenous level of knocked-down KAP1  
237 supplemented by transiently expression of exogenous KAP1 (Figure 3A, compare  
238 lane 2, 5 with lane 3, 6, respectively). The reduced expression of SHP1 was only  
239 reversed by loss of KSHV but not introduction of exogenous KAP1 (Figure 3A,  
240 compare lane 2, 5 with lane 3, 6, respectively), further supporting the direct link  
241 between KSHV and p-STAT6<sup>C</sup> activation in PEL cells.

242 Previously studies showed that KSHV primary infection usually contains mixture  
243 of latent and lytic replication status within 7 days, and quickly shuts off lytic replication  
244 at about 3 days post-infection and establishes dominantly latency at about 5 days  
245 post-infection (26, 28-30). To further confirm that phosphorylated STAT6 is regulated  
246 by KSHV infection, we performed primary infection of human peripheral blood  
247 mononuclear cells, followed by immunoblotting analysis against STAT6 and  
248 phosphorylated STAT6. KSHV dramatically induced phosphorylation of STAT6 within  
249 5 days following infection, however the phosphorylated STAT6 was relatively lower on  
250 day 5 (Figure 3B). In KS patient tissues, we also observed moderately higher levels  
251 of phosphorylated STAT6 and lower expression of SHP1 when compared that in the  
252 normal tissue (Figure 4 A and B).

253 Given p-STAT6<sup>C</sup> in both BC3 and BCBL1 cells – infected with KSHV alone - but  
254 not in JSC1 or BC1 cells infected with both KSHV and EBV, we speculate that the  
255 discrepancy in p-STAT6<sup>C</sup> stems from EBV infection. To prove our hypothesis, BC3  
256 and BCBL1 cells were individually infected with EBV virus carrying a GFP-

257 fluorescence *in vitro* followed by immunoblotting against p-STAT6<sup>C</sup>, STAT6 and SHP1.  
258 We observed a reduction in levels of p-STAT6<sup>C</sup> in BC3 cells after EBV infection,  
259 although SHP1 expression was not significantly affected (Figure 5A and B, similar  
260 data from BCBL1 cells not shown). Consistent with the previous studies (31), we also  
261 could observe that EBV rapidly induce both latent and lytic genes expression during  
262 early infection, and maintain relatively higher expression of latent genes to establish  
263 dominantly latency which similar to LCL at 21 days post-infection (Figure 5C).  
264 Therefore, our results suggest that co-infection of EBV reduces KSHV-induced p-  
265 STAT6<sup>C</sup> activation.

#### 266 **IL-13 is essential for p-STAT6<sup>C</sup> activation and proliferation of PEL cells**

267 Since phosphorylation of STAT6 signaling is primarily activated through IL-4 or  
268 IL-13 cytokine, to determine if these two cytokines contribute to p-STAT6<sup>C</sup>, we  
269 performed the immunoblotting analysis for these interleukins in KSHV-positive and  
270 negative cells. Strikingly, our results demonstrate that IL-13 not IL-4 was highly  
271 expressed and correlates with p-STAT6<sup>C</sup> in the BC3 and BCBL1 cells, as well as  
272 BJAB and iSLK with KSHV infection (Figure 6A and B). To further confirm these  
273 findings, we performed *in vitro* antibody neutralization against human IL-13 or IL-4 in  
274 culture media and monitored phosphorylation level of STAT6. Neutralization of IL-13  
275 in BC3 and BCBL1 strongly blocked p-STAT6<sup>C</sup> activation, whereas no significant  
276 changes were following IL-4 neutralization (Figure 6C). Altogether, these findings  
277 imply that constitutive activation of STAT6 by KSHV in PEL cells is driven by IL-13  
278 expression and secretion, along with low expression of SHP1 and phosphorylated  
279 JAK status.

280 To explore whether mutations of IL-13 promoter leads to high expression of IL-13

281 in both BC3 and BCBL1 cells, we amplified and sequenced DNA isolated from KSHV-  
282 positive (BC3, BCBL1, and JSC1) and negative (BJAB) B lymphoma cells. While  
283 several mutations within IL-13 promoter were detected, there was no correlation  
284 between the mutation observed and IL-13 expression (Figure 6D). To elucidate why  
285 expression of IL-13 and not IL-4 was associated with p-STAT6 activation, we  
286 hypothesized that p-STAT6<sup>c</sup> may selectively bind to IL-13 promoter through specific  
287 binding sites. To evaluate our hypothesis, we analyzed the promoter sequences of  
288 both IL-13 and IL-4, and identified four potential STAT6-binding sites located at the IL-  
289 13 promoter but only one site at the IL-4 promoter (Figure 6D). We validated this  
290 finding using chromatin-immunoprecipitation (ChIP) assays, which demonstrated  
291 increased affinity of p-STAT6 antibody towards the IL-13 promoter (Figure 6E).

292 To determine the biological consequences of IL-13-mediated STAT6 activation,  
293 we evaluated the effect of IL-13 or IL-4 neutralization along with non-specific antibody  
294 control on the proliferation of KSHV positive PEL cells (BC3 and BCBL1) as well as  
295 BJAB and BJAB with KSHV infection (K-BJAB<sup>Low</sup>). The growth rate of PEL cells and  
296 K-BJAB<sup>Low</sup> (but not BJAB) cells following IL-13 but not IL-4 neutralization was  
297 significantly less ( $p < 0.05$ ) than that in response to non-specific IgG isotype or  
298 untreated control, as shown in Figure 7A. The inhibitory effect of IL-13 neutralization  
299 on PEL cell proliferation causes a moderate increase in cell apoptosis (30.2% vs  
300 38.8%), although this effect was further enhanced (38.8% vs 61.5%) following cell  
301 starvation (Figure 7B). Taken together, these results indicate that IL-13 is essential for  
302 activation of STAT6 and PEL cell proliferation.

### 303 DISCUSSION

304 JAK-STAT is one of the most important pathways induced by cytokines. Upon

305 ligand binding to its cognate receptor, receptor-associated JAKs are phosphorylated  
306 and in turn successively activates STAT (32). It has been reported that constitutive  
307 activation of STAT6 is widely associated with tumor development, including virus-  
308 associated cancers (13, 15, 16, 33, 34). KSHV, a recently discovered oncogenic  
309 herpesvirus, has been shown to escape host immune surveillance through  
310 modulating the JAK-STAT pathway (35, 36). However, the specific molecular  
311 mechanism through which signal pathway modulation remains largely  
312 uncharacterized. Our previous studies showed that KSHV blocks IL-4-mediated  
313 STAT6 activation (24). In the present investigation, we demonstrated that STAT6 was  
314 constitutively activated (p-STAT6<sup>c</sup>) in KSHV-associated PEL cells to some extent.  
315 Moreover, the moderately activation of p-STAT6<sup>c</sup> was tightly associated with IL-13  
316 autocrine secretion and reduced expression of SHP1 caused by KSHV infection.  
317 Together, these pathway changes lead to PEL cell proliferation and survival (Figure 8).  
318 Consistent with previous reports that STAT6-associated IL-4 receptor is highly  
319 expressed in KS tissues (37, 38). We also found that IL-4 receptor was highly  
320 expressed in all PEL cells. These findings indicate that constitutive activation of  
321 STAT6 is a common feature in KSHV-associated cancers, although the level of p-  
322 STAT6<sup>c</sup> is relatively lower than that stimulated by IL-4 cytokine.

323         Recent publications have shown that cytokine induction of a Th2-cell immune  
324 response is one of the mechanisms that inhibiting an anti-tumor immune response.  
325 Among Th2 cytokines, IL-4 has been documented as the most critical cytokine to for  
326 Th2-induction. However, another related cytokine, IL-13, has also been identified as a  
327 critical mediator of anti-tumor immunity (39). The phosphorylation of STAT6 is primary  
328 activated by cytokine IL-4 and IL-13. To decipher how STAT6 is constitutively  
329 activated in different tumors, several groups have shown that IL-13 is frequently

330 expressed and antibody neutralization of IL-13 result in a dramatic decrease of  
331 phosphorylated STAT6 (15, 40). In this study, we also demonstrate that constitutively  
332 activation of STAT6 is induced by highly expressed IL-13 in PEL cells independent of  
333 IL-4, moreover, treatment of PEL cells with antibodies against IL-13 diminished  
334 phosphorylated STAT6. In addition, these results suggest that neutralization with IL-  
335 13 antibodies results in reduction of phosphorylated STAT6 and blocks the cell  
336 proliferation and survival of PEL cells. This work therefore supports the hypothesis  
337 that activated STAT6 could accelerate cell proliferation by down-regulating cyclin-  
338 dependent kinase inhibitor p27 (9).

339 Despite IL-13's role in the regulation of STAT6 activation, functional  
340 dysregulation of its upstream activator or negative regulator may also contribute to  
341 constitutively active STAT6. In MedB-1 cells derived from primary mediastinal large B-  
342 cell lymphoma, phosphorylated STAT6 was a result of a mutation of negative  
343 regulator SOCS1, an upstream activator of JAK2 (34, 41). In KSHV-associated PEL  
344 cells, we found that the negative regulator SHP1 is significantly down-regulated in  
345 PEL cell lines when compared to controls. In contrast, no difference of SOCS1 and  
346 SHP2 protein expression was observed in these cells. This implies that inhibition of  
347 SHP1 may contribute to the activation of STAT6 in PEL cells. Alternatively, increased  
348 STAT6 phosphorylation has been associated with promoter hypermethylation of  
349 SHP1 or SOCS-1, as reported in many cancers (42). To further determine hyper-  
350 methylation or promoter mutation of negative regulator resulted in the activated  
351 STAT6 in PEL cells, we amplified and sequenced SOCS1, SOCS3, SHP1 or SHP2  
352 promoters, as well as treated PEL cells with de-methylation reagent in different  
353 concentration and analyzed the transcriptional profile of SOCS1, SOCS3, SHP1 or  
354 SHP2. Our results demonstrate that de-methylation did not affect gene expression,



355 and that no promoter mutation with consistent impact on gene expression was  
356 identified (data not shown).

357 It has been reported that virus could directly or indirectly activate STAT6 upon  
358 infection. For instance, Herpesvirus saimiri (HVS)-encoded Tip (Tyrosine kinase-  
359 interacting protein) could interact with STAT6 and induce phosphorylation in T cell  
360 (22); SHP1 expression was selectively down-regulated in HTLV-1 transformed T-cell  
361 lines and Tax transactivates IL-13 overexpression (43, 44); and EBV Zta protein is  
362 able to induce the expression of IL-13 and promote the proliferation of EBV-infected B  
363 cells (45). To address whether KSHV infection triggered constitutive activation of  
364 STAT6 in PEL cells, we down-regulated KSHV episome by knocking down KAP1  
365 followed by determined the level of phosphorylated STAT6. The results confirmed our  
366 hypothesis and showed that loss of KSHV due to KAP1 knockdown reduced STAT6  
367 phosphorylation while rescuing KAP1 expression via transfection only partially  
368 recovered the levels of phosphorylated STAT6. We verified these findings by infecting  
369 PBMCs with KSHV. Interestingly, due to the facts that dynamic status of latency and  
370 lytic replication at different stages during primary infection (26, 28-30), STAT6  
371 phosphorylation was up-regulated at the early stages following infection and  
372 subsequently down-regulated, suggesting that higher level phosphorylated STAT6  
373 could be required for establishment but not maintenance of KSHV latency. Consistent  
374 with our and other colleague's previous works (24, 25), the robust activation of STAT6  
375 at early stage during KSHV primary infection could contribute to viral lytic replication,  
376 and gradually reduced to a lower extent (probably due to shut off potent IL-4  
377 induction and remain mild IL-13 induction as we observed so far) along latent  
378 establishment. Altogether, these results highlight a critical role for constitutive  
379 activation of STAT6 in PEL cells following KSHV infection.

380           Recent studies have shown that co-infection of two pathogens could compete for  
381 cytokine-mediated regulation of STAT6 (25). In this study, although we did not see  
382 any phosphorylated STAT6 in JSC1 cells with both KSHV and EBV infection,  
383 immunoblotting results showed a decrease in SHP1 in JSC1 which is consistent with  
384 KSHV-positive PEL cell lines BC3 and BCBL1. Moreover, infection of BC3 or BCBL1  
385 with EBV *in vitro* reduced phosphorylated STAT6. These results imply that EBV co-  
386 infection could alter KSHV-induced STAT6 activation, and the EBV/KSHV dual  
387 positive PEL cells may have different regulatory pathway to bypass the effect of IL-  
388 13/STAT6. However, details of this mechanism require a more thorough investigation.

389           In summary, we demonstrated that STAT6 is constitutively activated in PEL cells  
390 due to secretion of IL-13, and KSHV-mediated down-regulation of SHP1. This  
391 indicates that constitutively activation of IL-13/STAT6 signaling is another mechanism  
392 utilized by KSHV to promote pathogenesis and tumorigenesis during latency infection,  
393 and STAT6 could be a candidate target for tumor therapy.

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- 537

538 TABLE

539

**Table 1.** Primers used for PCR

Target	Primer sequence	Product (bp)
SHP1	Sense: 5'- GTCGGAGTACGGGAACATCACC -3'	387
	Antisense : 5'-CCCAGGGATTATTACAAGAGGAG -3'	
SHP2	Sense : 5'- ATGAGGAGACACGGGTAGGACT -3'	303
	Antisense : 5'- GCTATGTGTGAAAGTTGATCCC -3'	
SOCS1	Sense : 5'-GACTGCTAGCCATGGTAGCACACAACCAGGTGGCAG -3'	640
	Antisense : 5'- AGTCCTCGAGTCAAATCTGGAAGGGGAAGGAGCTC -3'	
SOCS3	Sense : 5'- GACTGCTAGCCATGGTCACCCACAGCAAGTTTCCC -3'	687
	Antisense : 5'- AGTCCTCGAGTTAAAGCGGGGCATCGTACTGGTC -3'	
GAPDH	Sense : 5'- TGCACCACCAACTGCTTAG -3'	190
	Antisense : 5'- GATGCAGGGATGATGTTTC -3'	
IL-4	Sense : 5'-ACCTCCCAACTGCTTCCC -3'	298
	Antisense : 5'- GCTGCTTGTGCCTGTGGA -3'	
IL-13	Sense : 5'- GTGGACCCAGGGATGACA- 3'	297
	Antisense: 5'- CTCCTGGTGTCCACTGCT- 3'	
SHP1p	Sense : 5'- ATAGGTACCTTGGTTTGGCGGTGTTGATGTTT -3'	1132
	Antisense : 5'- ATAAGCTTGGGAATGAGGAGGTGCAGCTAGTCT -3'	
IL-13p	Sense : 5'- CCGTTACATAAGGCCACCCCC -3'	1596
	Antisense : 5'- TCCAGTGTCCGATAAAGGAAAGAGTT -3'	
IL-4-ChIP	Sense : 5'- GGCCTCTCCCTTCTATGCAAA -3'	211
	Antisense : 5'- GGGCCAATCAGCACCTCTCT -3'	
IL-13-ChIP	Sense : 5'- GCCCTCCACAGCACTCATTC -3'	237
	Antisense : 5'- GTGGCTGGAAGTAGTGTGCAC -3'	

540 Note: primers for EBV latent and lytic genes see reference (31).

541

## 542 FIGURE LEGENDS

543 **Figure 1.** KSHV induces constitutively phosphorylation of STAT6 (p-STAT6<sup>c</sup>). **(A)** The  
544 relative level of p-STAT6<sup>c</sup>/STAT6 in the KSHV-positive (BC1, BC3, BCP1, BCBL1,  
545 JSC1, K-BJAB<sup>Low</sup>, K-iSLK) and KSHV/EBV-negative (DG75, BJAB, LCL1, iSLK) cell  
546 lines. **(B)** p-STAT6<sup>c</sup> is blocked by JAK inhibitor in PEL cells. The representative of  
547 immunoblotting data of treated BC3 cells is shown in lower panel. **(C)** The levels of  
548 IL-4R $\alpha$  expression and phosphorylated JAK (p-JAK1 and p-JAK2) are elevated in the  
549 KSHV-infected cells. The relative protein level is quantitated in the histogram (low  
550 panel). RD, relative density.

551 **Figure 2.** KSHV down-regulates SHP1 expression. **(A)** Low expression of SHP1 in  
552 PEL cells. Cell lysates from equal amount of KSHV-positive and negative cells were  
553 subjected to immunoblotting analysis with antibodies as indicated in the figure.  
554 GAPDH was used as internal control. **(B)** Analysis of SHP1 promoter sequence from  
555 B lymphoma and PEL cells. **(C)** Transcriptional level of SHP1 and SHP2 in the KSHV-  
556 positive and negative cells. Total RNA was extracted from cultured cells for  
557 quantitative PCR analysis for SHP1 and SHP2. GAPDH was used as internal control.  
558 RD, relative density.

559 **Figure 3.** p-STAT6<sup>c</sup> is associated with SHP1 down-regulation by KSHV infection. **(A)**  
560 Loss of KSHV episome due to inhibition of KAP1 reduced the level of p-STAT6<sup>c</sup>. Cell  
561 lysates from BC3 cells (clone 1 and 2) with constitutively knockdown of KAP1  
562 (shKAP1), luciferase control (shKAP1) or supplement with exogenous KAP1 with  
563 FLAG tag were subjected to immunoblotting as indicated. The relative density (RD) of  
564 p-STAT6<sup>c</sup> was quantitated and shown in the middle panel. **(B)** Immunoblotting  
565 analysis. Whole cell lysate of human PBMC cells with GFP-KSHV infection for 3 or 5

566 days or mock (uninfected), were subjected to immunoblotting with antibodies against  
567 p-STAT6<sup>c</sup>, STAT6, and GAPDH. The relative density of p-STAT6<sup>c</sup>/STAT6 and LANA is  
568 individually quantitated, and relative trend line is predicted and shown at the bottom  
569 panel.

570 **Figure 4.** Expression levels of p-STAT6<sup>c</sup> and SHP1 in KS patient tissues. **(A)**  
571 Representative images of Immunohistochemistry assays of KS patient tissue and  
572 normal skin tissue against p-STAT6<sup>c</sup>, SHP1 and LANA. The larger magnification  
573 (x400) of image is shown at the lower panels. **(B)** The relative intensity of p-STAT6<sup>c</sup>  
574 and SHP1 in KS (n=6) and normal skin tissue (n=3) was individually quantitated by  
575 nuclear and cytoplasmic staining (double positive percentage: +, 10-20%; +/-, 1-10%;  
576 -, <1%) of 100 cells.

577 **Figure 5.** EBV infection reduces p-STAT6<sup>c</sup> expression in PEL cells. **(A)** Cell lysate  
578 from BC3 cells infected with GFP-EBV for 2, 7 or 21 days or mock were subjected to  
579 immunoblotting as indicated in figure. **(B)** The relative ratio of p-STAT6<sup>c</sup>/STAT6 along  
580 EBV infection. The results were presented by the average relative fold compared with  
581 mock from 3 independent experiments. A representative image of BC3 cells with  
582 GFP-EBV infection at each time point was shown on the top panel. **(C)** Latent  
583 (EBNA1, EBNA2 and LMP1) and lytic (BZLF1, BALF5 and BcLF1) gene expression  
584 during GFP-EBV infection. The relative mRNA levels of each genes including GAPDH  
585 as control were examined by quantitative PCR. The fold change was calculated by  
586 the  $\Delta\Delta C_t$  method.

587 **Figure 6.** IL-13 expression is correlated with p-STAT6<sup>c</sup> in PEL cells with KSHV  
588 infection alone. **(A)** Equal amount of KSHV-positive and negative B lymphoma cells  
589 were subjected to immunoblotting analysis with antibodies as indicated in the figure.

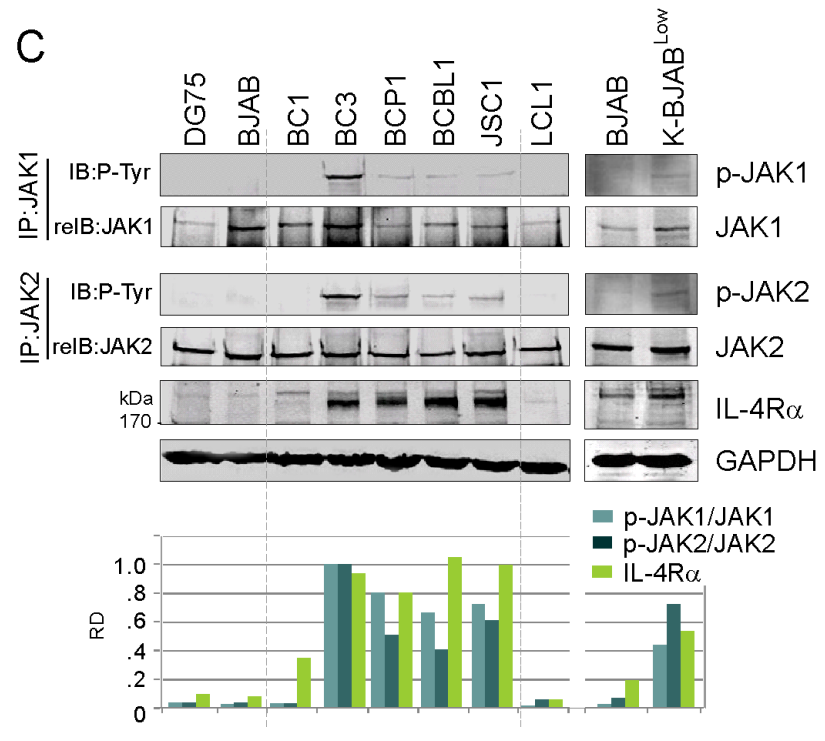
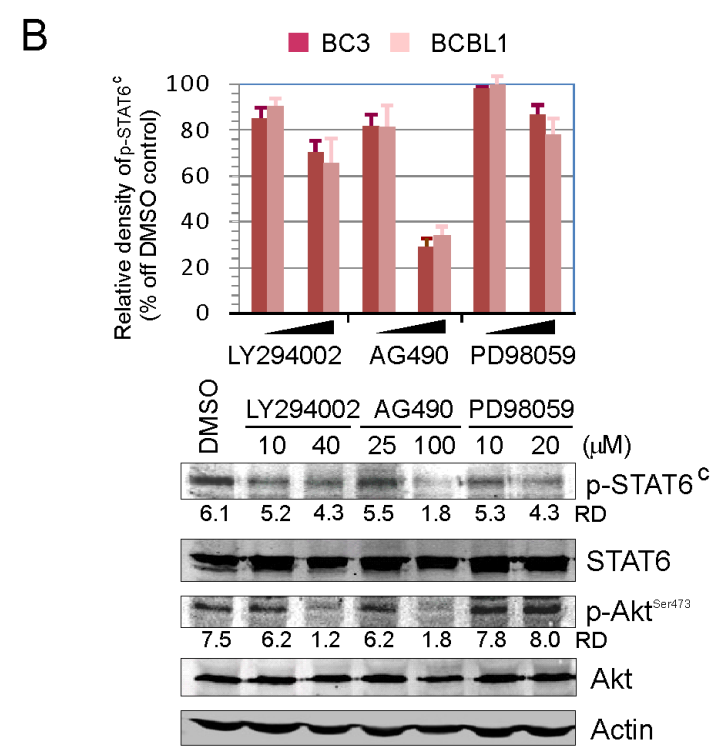
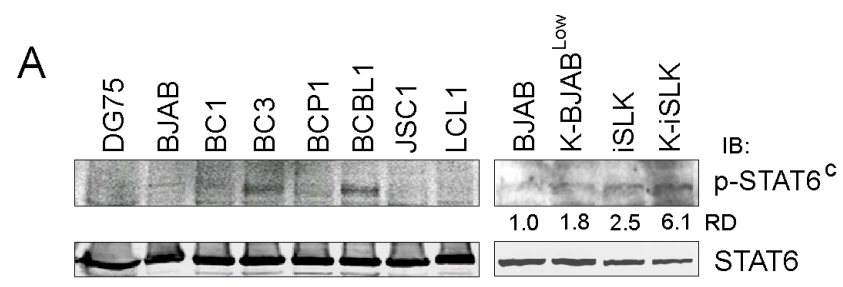


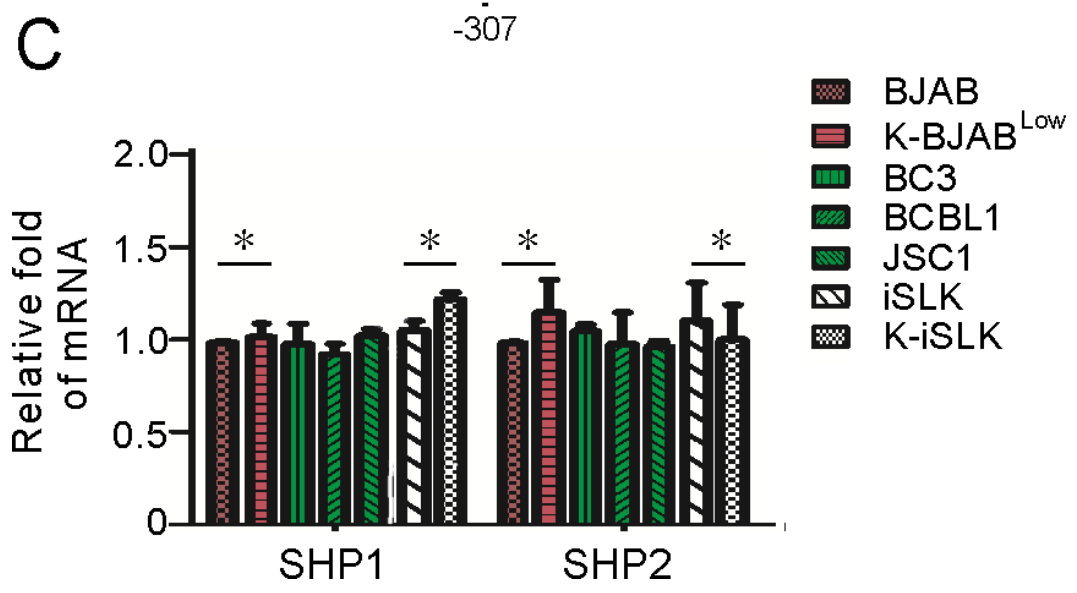
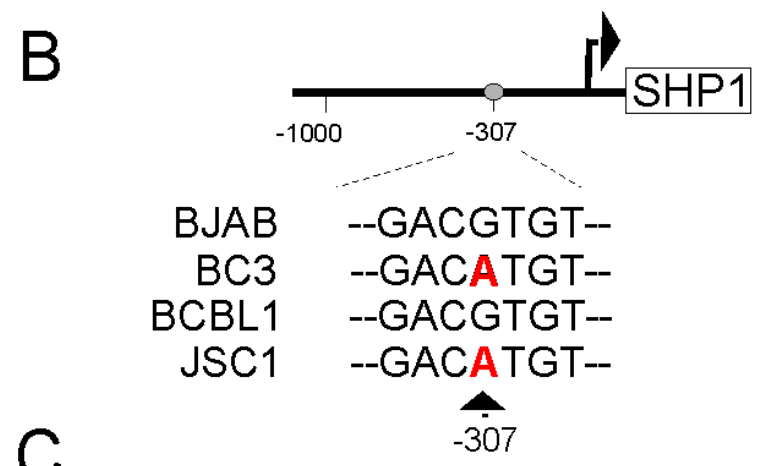
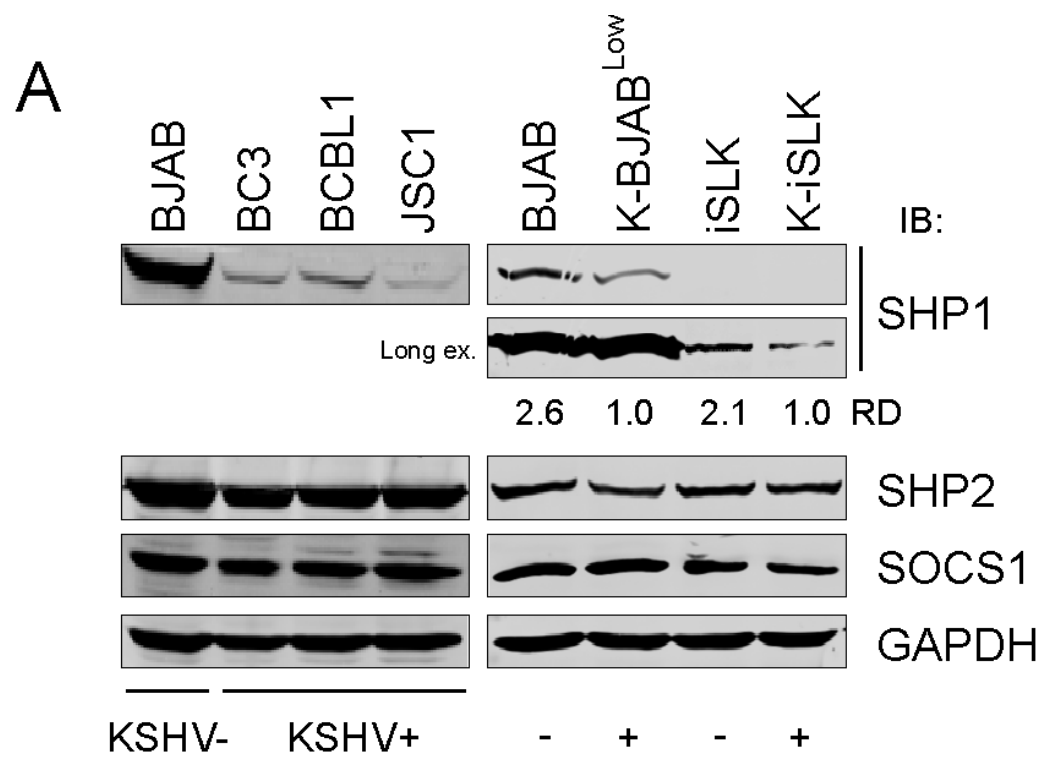
590 (B) The transcription level of IL-13 not IL-4 is upregulated by KSHV. (C) Cytokine IL-  
591 13 not IL-4 depletion reduces the level of p-STAT6<sup>c</sup>. PEL cells were individually  
592 incubated with or without antibodies against IL-4, IL-13 or same isotype IgG control  
593 for 12 hrs, followed by immunoblotting analysis as indicated in the figure. (D) The  
594 putative STAT6-binding sites within IL-13 and IL-4 promoters were indicated on the  
595 top panel. DNA sequencing reveals four hot mutation spots within IL-13 promoter  
596 from PEL cells. nd, not determined. (E) p-STAT6<sup>c</sup> has higher affinity with IL-13  
597 promoter than IL-4 promoter. Chromatin immunoprecipitation (ChIP) with p-STAT6<sup>c</sup>  
598 from BC3 cells was performed, and the relative density (RD) of p-STAT6<sup>c</sup> bound to IL-  
599 13 or IL-4 promoter was detected by quantitative PCR. The specific amplicon was  
600 verified by agrose electrophoresis and shown on the top panel.

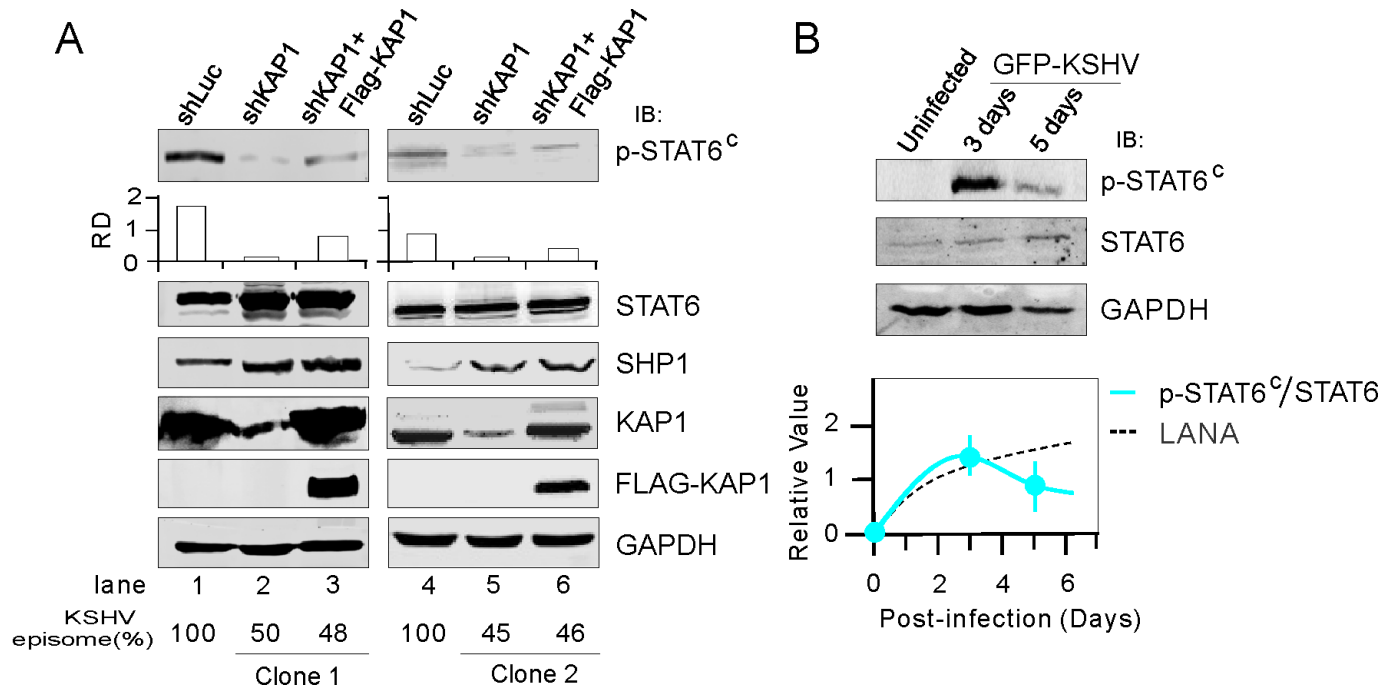
601 **Figure 7.** IL-13 is crucial for triggering PEL cell proliferation and survival. (A) Equal  
602 amount (2 million) of BC3, BCBL1, BJAB, and K-BJAB<sup>Low</sup> cells were individually  
603 treated with either 20 µg/ml anti-IL-4, anti-IL-13 antibody or an IgG isotype control.  
604 Proliferation was measured at 24, 48, and 72 hours by cell vitality counter. The  
605 proliferation rate of the treated cells was presented by percentage of the  
606 corresponding untreated control, and calculated as the mean of triplicate samples.  
607 The statistical significance was evaluated and  $p < 0.05$  indicated as double asterisks.  
608 (B) IL-13 enhances PEL cell survival. BC3 cells were individually subjected to  
609 treatment with anti-IL-13 or control antibody (as shown in panel A) in combination  
610 with or without sera starve (0.1%) overnight, followed by analysis of cell cycle profile.  
611 The average percentage of different phases (sub-G1, G1, S, G2/M) from three  
612 repeats in presented in a histogram.

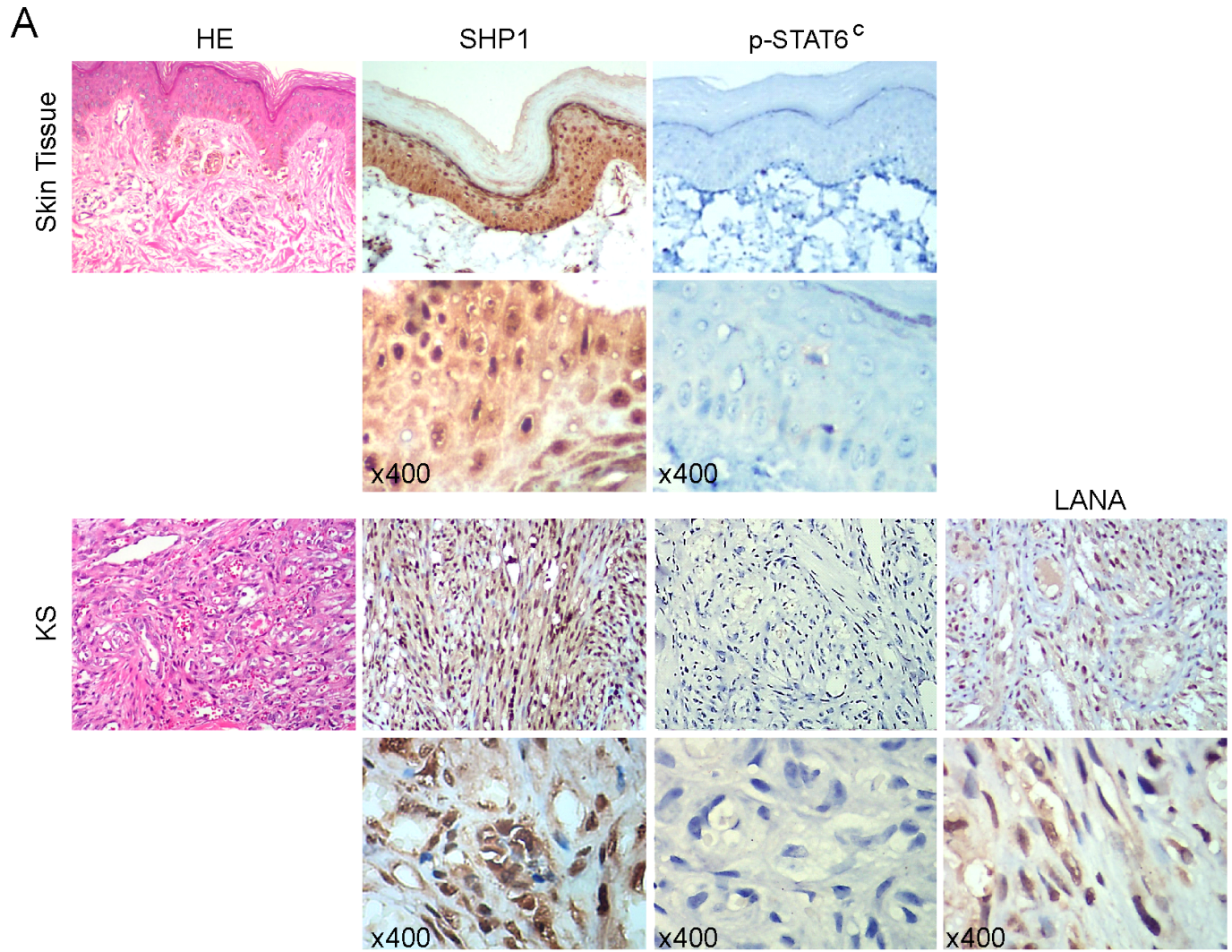
613 **Figure 8.** A schematic representation of constitutive activation of IL-13/STAT6

614 signaling in PEL cells. In KSHV-associated B lymphoma cells, KSHV not only  
615 significantly blocks IL-4-induced activation of STAT6 (high) for suppressing immune  
616 cell growth and activation (Cai et al, JVI 2010), it also down-regulates SHP1 and  
617 constitutively activates IL-13-mediated phosphorylation of STAT6 (low) to a certain  
618 extent via selectively induction of IL-13 not IL-4 expression, for enhancing host cell  
619 proliferation and survival. However, EBV co-infection dramatically blocks KSHV-  
620 induced activation of IL-13/STAT6 signaling.



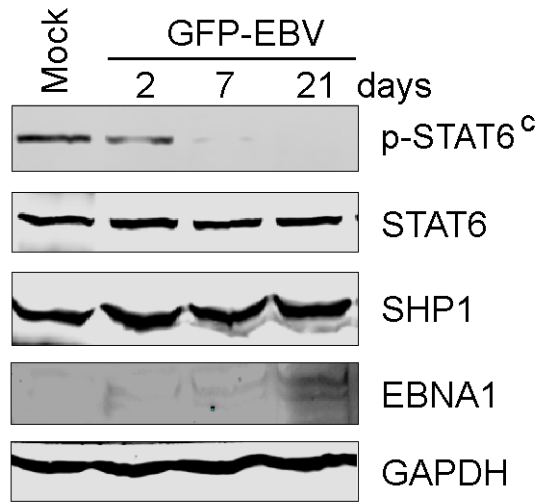




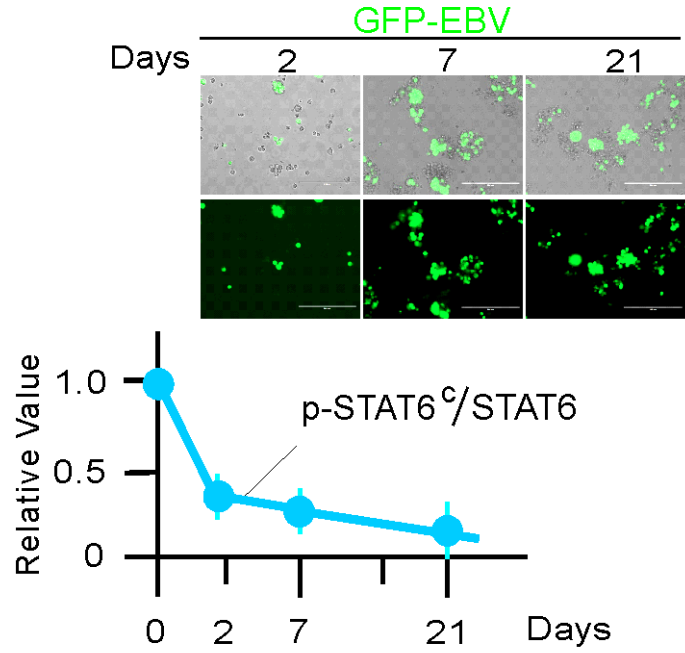
**B**

	SHP1	p-STAT6 <sup>c</sup>
Skin Tissue	++++	-
KS	++	+/-

A



B



C

